



www.riceep.com ~ Mailing Address: P.O. Box 442, Lake Oswego, Oregon 97034 ~ (503) 726-5990

**PERSONAL INFORMATION FORM**  
(Confidential - To Be Completed by Client)

Date \_\_\_\_\_

**Personal and Family Information**

Client [1]: \_\_\_\_\_  
First Middle Last

Address: \_\_\_\_\_  
Street City State Zip

Phone: \_\_\_\_\_ (cell) \_\_\_\_\_ (home)

Email Address: \_\_\_\_\_ Communication Preference: Email/Cell/Home

Birth Date: \_\_\_\_\_ Occupation and Name of Employer: \_\_\_\_\_

Marital Status: \_\_\_\_\_ Date of This Marriage: \_\_\_\_\_

Previously Married? [ ] Yes [ ] No If Yes: [ ] Widowed [ ] Divorced Veteran? [ ] Yes [ ] No

Client [2]: \_\_\_\_\_  
First Middle Last

Address: \_\_\_\_\_  
Street City State Zip

Phone: \_\_\_\_\_ (cell) \_\_\_\_\_ (home)

Email Address: \_\_\_\_\_ Communication Preference: Email/Cell/Home

Birth Date: \_\_\_\_\_ Occupation and Name of Employer: \_\_\_\_\_

Marital Status: \_\_\_\_\_ Date of This Marriage: \_\_\_\_\_

Previously Married? [ ] Yes [ ] No If Yes: [ ] Widowed [ ] Divorced Veteran? [ ] Yes [ ] No

Referral Source: Website/Social Media/Presentation/Personal Referral (include name) \_\_\_\_\_





*www.riceep.com ~ Mailing Address: P.O. Box 442, Lake Oswego, Oregon 97034 ~ (503) 726-5990*

**Planning Objectives**

**1. What size is your estate, roughly?**

- Under \$1,000,000
- \$1,000,001 - \$3,000,000
- \$3,000,001 - \$6,500,000
- More than \$6,500,000

**2. What are your primary goals in estate planning?** (Help children, avoid taxes, avoid probate, make charitable gifts, etc.).

**3. Do you, your spouse or children have any special needs?**  Yes  No

**If yes, please provide additional information:** \_\_\_\_\_

**4. History of Gifts:** List all gifts made in excess of \$10,000 and all gifts of life insurance.

<u>Date of Gift</u>	<u>Donor</u>	<u>Recipient</u>	<u>Value</u>	<u>Reason for Gift</u>
---------------------	--------------	------------------	--------------	------------------------

**5. Have you or your spouse ever filed a gift tax return?**  Yes  No

**6. Do you have any particular area of charitable interest?**  Yes  No

**If yes, please describe:** \_\_\_\_\_

**7. Do you expect inheritances from your parents or other relatives?**  Yes  No

**8. If previously divorced, do you have any payment or life insurance obligations either to your former spouse or to children of the prior marriage embodied in any judgment or written agreement?**  Yes  No **If Yes, please provide copies.**

**Summary of Assets**

**Please indicate whether assets are joint or in client [1] or client [2]'s individual name, the name of the financial institution, and the approximate value for the following assets:**

**1. Bank Accounts**

---



---



---

**2. Brokerage/Stock Accounts**

---



---



---

*www.riceep.com ~ Mailing Address: P.O. Box 442, Lake Oswego, Oregon 97034 ~ (503) 726-5990*

---

**3. Businesses**

---

---

**4. Real Property (please provide addresses and county)**

---

---

---

**5. 401(k)/IRAs/Other Non-Qualified Retirement Plans**

---

---

---

**6. Pension/Qualified Retirement Plans**

---

---

---

**7. Life Insurance**

---

---

**8. Long-Term Health Care Insurance**

---

---

**9. Homeowner's Insurance/Umbrella Insurance**

---

---

**10. College Savings Plans**

---

---

**11. Safe Deposit Box (indicate location and signers)**

---



12. **Have you previously executed any of the following:**

- A Will
- A Revocable Living Trust
- An Irrevocable Trust
- A Power of Attorney
- A Prenuptial Agreement
- Advance Directive

*Thank you for taking the time to fill out this form. It makes our initial meeting much more productive.*